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PATENT  
ATTORNEY DOCKET NO. 03848-00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Janet A. Warrington et al. ) Examiner:  
Serial No.: 09/950,016 ) Art Unit: 1641  
Filed: September 10, 2001 )  
Title: METHODS FOR DETECTING AND )  
DIAGNOSING ORAL CANCER )

Assistant Commissioner for Patents  
Box Sequence  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

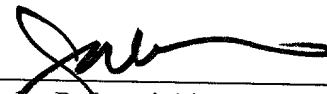
In regard to the above identified application, we are transmitting herewith the attached:

1. Response to Notice to Comply;
2. Sequence Listing (paper and diskette copy);
3. Statement Under 37 C.F.R. § 1.821(f);
4. Copy of the Notice to Comply; and
5. Return postcard.

No fees are believed due. The Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: February 28, 2002

By   
John P. Iwanicki, Reg. No. 34,628  
BANNER & WITCOFF, LTD.  
28 State Street, 28th Floor  
Boston, MA 02109  
(617) 227-7111



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**RESPONSE TO NOTICE TO COMPLY**

Dear Sir:

This is in response to the Notice to Comply mailed January 30, 2002.

**REMARKS**

Applicants submit herewith a substitute sequence listing to correct invalid <213> responses on the originally submitted sequence listing. Applicants have enclosed a substitute sequence listing (both paper and computer readable form versions) that contains the sequences shown in the specification.

In accordance with 37 C.F.R. § 1.821(c), enclosed is a substitute paper copy of the Sequence Listing. Applicants respectfully request that the application be amended to include the substitute paper copy of the Sequence Listing as part of the application.

USSN 09/950,016  
Express Mail No. EL 860961268 US

**EL860961268US**

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In accordance with 37 C.F.R. § 1.821(e), enclosed is a 3.5 inch computer disk that contains a computer readable form of the paper substitute Sequence Listing. The disk is in IBM PC format and contains the computer readable form of the paper "Sequence Listing" in ANSI text format, as the file name "revised seq listing.ST25". The computer readable form of the sequence listing is identical to the sequence listing submitted on paper, as required by 37 C.F.R. § 1.821(f), and contains no new matter.

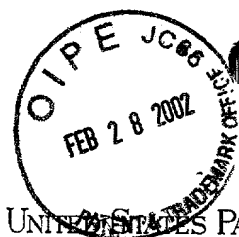
**STATEMENT UNDER 37 C.F.R. § 1.821(f)**

In accordance with 37 C.F.R. § 1.821(f), I hereby state that the hard copy and the computer readable form of the Sequence Listing submitted herewith in the above-identified patent application are supported in the application, contain no new matter, and contain the same substantive sequence information.

Respectfully submitted,

Dated: February 24, 2002

  
\_\_\_\_\_  
John P. Iwanicki, Reg. No. 34,628  
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28 State Street, 28th Floor  
Boston, MA 02109  
(617) 227-7111



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/950,016	09/10/2001	Janet A. Warrington	03848-00093

CONFIRMATION NO. 9580

## FORMALITIES LETTER



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BOSTON, MA 02109

Date Mailed: 01/30/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C F R 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE